

**Return of Private Foundation  
or Section 4947(a)(1) Trust Treated as Private Foundation**

**2013**

Department of the Treasury  
Internal Revenue Service

▶ Do not enter Social Security numbers on this form as it may be made public.  
▶ Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

For calendar year 2013, or tax year beginning \_\_\_\_\_, 2013, and ending \_\_\_\_\_

**B & E COLLINS FOUNDATION**  
1642 MONTANE DR E  
GOLDEN, CO 80401

**A** Employer identification number  
84-1455726

**B** Telephone number (see the instructions)  
303-947-1106

**C** If exemption application is pending, check here.

**D** 1 Foreign organizations, check here   
2 Foreign organizations meeting the 85% test, check here and attach computation

**E** If private foundation status was terminated under section 507(b)(1)(A), check here

**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

**G** Check all that apply:  Initial return  Initial return of a former public charity  
 Final return  Amended return  
 Address change  Name change

**H** Check type of organization:  Section 501(c)(3) exempt private foundation  
 Section 4947(a)(1) nonexempt charitable trust  Other taxable private foundation

**I** Fair market value of all assets at end of year (from Part II, column (c), line 16)  
▶ \$ 2,025,900.

**J** Accounting method:  Cash  Accrual  
 Other (specify) \_\_\_\_\_  
(Part I, column (d) must be on cash basis.)

<b>Part I Analysis of Revenue and Expenses</b> (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>REVENUE</b>	<b>1</b> Contributions, gifts, grants, etc. received (att sch)	159,118.			
	<b>2</b> Ck <input type="checkbox"/> if the foundn is not req to att Sch B				
	<b>3</b> Interest on savings and temporary cash investments				
	<b>4</b> Dividends and interest from securities	39,480.	39,480.		
	<b>5 a</b> Gross rents				
	<b>b</b> Net rental income or (loss)				
	<b>6 a</b> Net gain/(loss) from sale of assets not on line 10	-18,530.			
	<b>b</b> Gross sales price for all assets on line 6a. 421,196.				
	<b>7</b> Capital gain net income (from Part IV, line 2)		0.		
	<b>8</b> Net short-term capital gain				
	<b>9</b> Income modifications				
	<b>10 a</b> Gross sales less returns and allowances				
<b>b</b> Less: Cost of goods sold					
<b>c</b> Gross profit/(loss) (att sch)					
<b>11</b> Other income (attach schedule)					
<b>12 Total.</b> Add lines 1 through 11.	180,068.	39,480.	0.		
<b>ADMINISTRATIVE AND OPERATING EXPENSES</b>	<b>13</b> Compensation of officers, directors, trustees, etc.	0.			
	<b>14</b> Other employee salaries and wages				
	<b>15</b> Pension plans, employee benefits				
	<b>16 a</b> Legal fees (attach schedule)				
	<b>b</b> Accounting fees (attach sch)				
	<b>c</b> Other prof fees (attach sch) SEE ST. 1	3,650.	2,190.		1,460.
	<b>17</b> Interest				
	<b>18</b> Taxes (attach schedule)(see instrs) SEE STM 2	1,433.	447.		
	<b>19</b> Depreciation (attach sch) and depletion				
	<b>20</b> Occupancy				
	<b>21</b> Travel, conferences, and meetings	28,674.	25,807.		2,867.
	<b>22</b> Printing and publications				
	<b>23</b> Other expenses (attach schedule) SEE STATEMENT 3	88.	53.		35.
	<b>24 Total operating and administrative expenses.</b> Add lines 13 through 23.	33,845.	28,497.		4,362.
<b>25</b> Contributions, gifts, grants paid PART XV.	69,665.			69,665.	
<b>26 Total expenses and disbursements.</b> Add lines 24 and 25.	103,510.	28,497.	0.	74,027.	
<b>27</b> Subtract line 26 from line 12:					
<b>a Excess of revenue over expenses and disbursements</b>	76,558.				
<b>b Net investment income</b> (if negative, enter -0.)		10,983.			
<b>c Adjusted net income</b> (if negative, enter -0.)			0.		

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
ASSETS	1	Cash — non-interest-bearing				
	2	Savings and temporary cash investments	8,559.	9,421.	9,421.	
	3	Accounts receivable				
		Less: allowance for doubtful accounts				
	4	Pledges receivable				
		Less: allowance for doubtful accounts				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach sch)				
		Less: allowance for doubtful accounts				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments — U.S. and state government obligations (attach schedule)				
	b	Investments — corporate stock (attach schedule)	1,640,190.	1,715,886.	2,016,479.	
	c	Investments — corporate bonds (attach schedule)				
	11	Investments — land, buildings, and equipment: basis				
	Less: accumulated depreciation (attach schedule)					
12	Investments — mortgage loans					
13	Investments — other (attach schedule)					
14	Land, buildings, and equipment: basis					
	Less: accumulated depreciation (attach schedule)					
15	Other assets (describe)					
16	<b>Total assets</b> (to be completed by all filers — see the instructions. Also, see page 1, item I)	1,648,749.	1,725,307.	2,025,900.		
LIABILITIES	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, & other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe)				
	23	<b>Total liabilities</b> (add lines 17 through 22)	0.	0.		
NET ASSETS OR FUND BALANCES	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, building, and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds	1,648,749.	1,725,307.		
30	<b>Total net assets or fund balances</b> (see instructions)	1,648,749.	1,725,307.			
31	<b>Total liabilities and net assets/fund balances</b> (see instructions)	1,648,749.	1,725,307.			

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Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,648,749.
2	Enter amount from Part I, line 27a	2	76,558.
3	Other increases not included in line 2 (itemize)	3	
4	Add lines 1, 2, and 3	4	1,725,307.
5	Decreases not included in line 2 (itemize)	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	1,725,307.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a SEE STATEMENT 4			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss). <span style="float: right;">[ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 ]</span>	2	-18,530.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8.	3	0.

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

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If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2012	76,075.	1,631,095.	0.046640
2011	82,329.	1,455,057.	0.056581
2010	65,611.	1,474,601.	0.044494
2009	70,951.	1,433,339.	0.049501
2008	70,977.	1,617,891.	0.043870

2 Total of line 1, column (d)	2	0.241086
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.048217
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	1,862,091.
5 Multiply line 4 by line 3	5	89,784.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	110.
7 Add lines 5 and 6	7	89,894.
8 Enter qualifying distributions from Part XII, line 4	8	74,027.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 -- see instructions)**

1 a Exempt operating foundations described in section 4940(d)(2), check here. <input type="checkbox"/> and enter 'N/A' on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary -- see instrs)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here. <input type="checkbox"/> and enter 1% of Part I, line 27b. ....		1	220.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b) ....			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	220.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	220.
6 Credits/Payments:			
a 2013 estimated tax pmts and 2012 overpayment credited to 2013. ....	6 a	1,300.	
b Exempt foreign organizations -- tax withheld at source. ....	6 b		
c Tax paid with application for extension of time to file (Form 8868) ....	6 c		
d Backup withholding erroneously withheld. ....	6 d		
7 Total credits and payments. Add lines 6a through 6d. ....		7	1,300.
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached. ....		8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed. ....		9	0.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid. ....		10	1,080.
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax. .... 1,080. Refunded. ....		11	0.

**Part VII-A Statements Regarding Activities**

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? .....		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? .....		X
<i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		
c Did the foundation file Form 1120-POL for this year? .....		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. .... \$ 0. (2) On foundation managers. .... \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. .... \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? .....		X
<i>If 'Yes,' attach a detailed description of the activities.</i>		
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes.</i> .....		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year? .....		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year? .....		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? .....		X
<i>If 'Yes,' attach the statement required by General Instruction T.</i>		
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? .....	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, column (c), and Part XV.</i> .....	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions). .... CO		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If 'No,' attach explanation.</i> .....	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV.</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses.</i> .... SEE STATEMENT 5	X	

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**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions) .....	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions) .....	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? .....	13	X	
Website address: .....				
14	The books are in care of ▶ <u>BOB COLLINS</u> Telephone no. ▶ <u>303-526-4710</u>			
Located at ▶ <u>1642 MONTANE DR E GOLDEN CO</u> ZIP + 4 ▶ <u>80401</u>				
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here .....	N/A	▶ <input type="checkbox"/>	
and enter the amount of tax-exempt interest received or accrued during the year .....				
▶ 15 N/A				
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? .....	16	Yes	No
See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If 'Yes,' enter the name of the foreign country ▶				

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.**

		Yes	No
<b>1 a</b> During the year did the foundation (either directly or indirectly):			
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? .....	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? .....	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? .....	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? .....	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? .....	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(6)	Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) .....	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
<b>1 b</b>	If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? .....	N/A	
Organizations relying on a current notice regarding disaster assistance check here .....			
<b>1 c</b>	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? .....		X
<b>2</b> Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
<b>a</b>	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? .....	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
If 'Yes,' list the years ▶ 20 __, 20 __, 20 __, 20 __.			
<b>2 b</b>	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions.) .....	N/A	
<b>2 c</b>	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20 __, 20 __, 20 __, 20 __.		
<b>3 a</b>	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? .....	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
<b>3 b</b>	If 'Yes,' did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.) .....	N/A	
<b>4 a</b>	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? .....		X
<b>4 b</b>	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? .....		X

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5 a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?  Yes  No

(3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)  Yes  No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

b If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?  Yes  No

Organizations relying on a current notice regarding disaster assistance check here

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?  Yes  No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d).

6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  Yes  No

If 'Yes' to 6b, file Form 8870.

7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No

b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?  Yes  No

	5 b	N/A
	6 b	X
	7 b	

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

**1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 6		0.	0.	0.
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-----				
-----				
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**2** Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
-----				
-----				
-----				
-----				

Total number of other employees paid over \$50,000 0

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
-----		
-----		
-----		
-----		
Total number of others receiving over \$50,000 for professional services.....		0

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

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**Part IX-B Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3.....	0.

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**Part X** Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes:		
a	Average monthly fair market value of securities	1 a	1,840,586.
b	Average of monthly cash balances	1 b	49,862.
c	Fair market value of all other assets (see instructions)	1 c	
d	<b>Total</b> (add lines 1a, b, and c)	1 d	1,890,448.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	1,890,448.
4	Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	28,357.
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,862,091.
6	<b>Minimum investment return.</b> Enter 5% of line 5	6	93,105.

**Part XI** Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	93,105.
2 a	Tax on investment income for 2013 from Part VI, line 5	2 a	220.
b	Income tax for 2013. (This does not include the tax from Part VI.)	2 b	
c	Add lines 2a and 2b	2 c	220.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	92,885.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	92,885.
6	Deduction from distributable amount (see instructions)	6	
7	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	92,885.

**Part XII** Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes:		
a	Expenses, contributions, gifts, etc – total from Part I, column (d), line 26	1 a	74,027.
b	Program-related investments – total from Part IX-B	1 b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3 a	
b	Cash distribution test (attach the required schedule)	3 b	
4	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	74,027.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	6	74,027.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.



**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7.....				92,885.
2 Undistributed income, if any, as of the end of 2013:				
a Enter amount for 2012 only.....			53,131.	
b Total for prior years: 20 __, 20 __, 20 __		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008.....				
b From 2009.....				
c From 2010.....				
d From 2011.....				
e From 2012.....				
f Total of lines 3a through e.....	0.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ \$ <u>74,027.</u>				
a Applied to 2012, but not more than line 2a....			53,131.	
b Applied to undistributed income of prior years (Election required – see instructions).....		0.		
c Treated as distributions out of corpus (Election required – see instructions).....	0.			
d Applied to 2013 distributable amount.....				20,896.
e Remaining amount distributed out of corpus....	0.			
5 Excess distributions carryover applied to 2013..... (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
<b>6 Enter the net total of each column as indicated below:</b>	<b>COPY</b>			
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5.....	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b.....		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed....		0.		
d Subtract line 6c from line 6b. Taxable amount – see instructions.....		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount – see instructions.....			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014.....				71,989.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).....	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)....	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a.....	0.			
10 Analysis of line 9:				
a Excess from 2009....				
b Excess from 2010....				
c Excess from 2011....				
d Excess from 2012....				
e Excess from 2013....				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

**1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling. ▶

**b** Check box to indicate whether the foundation is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

	Tax year				(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
<b>2 a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
<b>b</b> 85% of line 2a					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon:					
<b>a</b> 'Assets' alternative test – enter:					
<b>(1)</b> Value of all assets					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> 'Endowment' alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
<b>c</b> 'Support' alternative test – enter:					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

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**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year – see instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

ELAINE COLLINS

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number or e-mail of the person to whom applications should be addressed:

**b** The form in which applications should be submitted and information and materials they should include:

**c** Any submission deadlines:

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV Supplementary Information (continued)**

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE STATEMENT 7				
<b>Total</b> ..... ▶ <b>3 a</b>				69,665.
b Approved for future payment				
<b>Total</b> ..... ▶ <b>3 b</b>				

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Schedule B  
(Form 990, 990-EZ,  
or 990-PF)

Department of the Treasury  
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF  
▶ Information about Schedule B (Form 990, 990-EZ, 990-PF) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No. 1545-0047

2013

Name of the organization <b>B &amp; E COLLINS FOUNDATION</b>	Employer identification number <b>84-1455726</b>
---	---

Organization type (check one):

Filers of:

Form 990 or 990-EZ

Section:

- 501(c)( ) (enter number) organization
- 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
- 527 political organization

Form 990-PF

- 501(c)(3) exempt private foundation
- 4947(a)(1) nonexempt charitable trust treated as a private foundation
- 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**

**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. (Complete Parts I and II.)

**Special Rules**

- For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33-1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc, purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc, purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc, contributions of \$5,000 or more during the year. ▶ \$ \_\_\_\_\_

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**Caution:** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF) but it **must** answer 'No' on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

**BAA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990EZ, Schedule B (Form 990, 990-EZ, or 990-PF) (2013) or 990-PF.**

Name of organization <b>B &amp; E COLLINS FOUNDATION</b>	Employer identification number <b>84-1455726</b>
---	---

**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	THE ELAINE COLLINS CLUT; JP MORGAN 500 STANTON CHRISTIANA RD NEWARK, DE 19713	\$ 143,618.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
2	ELAINE COLLINS 1642 MONTANE DRIVE E GOLDEN, CO 80401	\$ 13,500.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
---	<div style="font-size: 2em; font-weight: bold; margin: 0;">COPY</div>	\$ ---	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
---	---	\$ ---	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
---	---	\$ ---	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
---	---	\$ ---	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)





Name of organization: **B & E COLLINS FOUNDATION**  
 Employer identification number: **84-1455726**

**Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8) or (10) organizations that total more than \$1,000 for the year.** Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter total of *exclusively* religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ..... \$                      N/A Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	N/A		

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee
<b>COPY</b>	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

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**STATEMENT 1  
FORM 990-PF, PART I, LINE 16C  
OTHER PROFESSIONAL FEES**

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PROFESSIONAL FEES.....	\$ 3,650.	\$ 2,190.		\$ 1,460.
<b>TOTAL</b>	<b>\$ 3,650.</b>	<b>\$ 2,190.</b>	<b>\$ 0.</b>	<b>\$ 1,460.</b>

**STATEMENT 2  
FORM 990-PF, PART I, LINE 18  
TAXES**

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL TAXES.....	\$ 986.			
FOREIGN TAXES.....	447.	\$ 447.		
<b>TOTAL</b>	<b>\$ 1,433.</b>	<b>\$ 447.</b>	<b>\$ 0.</b>	<b>\$ 0.</b>

**STATEMENT 3  
FORM 990-PF, PART I, LINE 23  
OTHER EXPENSES**

**COPY**

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
RENTAL EXPENSES.....	\$ 88.	\$ 53.		\$ 35.
<b>TOTAL</b>	<b>\$ 88.</b>	<b>\$ 53.</b>	<b>\$ 0.</b>	<b>\$ 35.</b>

**STATEMENT 4  
FORM 990-PF, PART IV, LINE 1  
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
1	380.668 FIDELITY FLOATING RATE	PURCHASED	VARIOUS	5/20/2013
2	106.474 PERKINS MID CAP VALUE	PURCHASED	12/20/2012	5/20/2013
3	2443.358 JP MORGAN HIGHBRIDGE	PURCHASED	8/16/2013	11/14/2013
4	153.504 DODGE & COX STOCK FUND	PURCHASED	11/08/2011	2/05/2013
5	878.735 AMERICAN BEACON INTL	PURCHASED	11/08/2011	2/05/2013
6	4197.903 ARROW MGD FUTURES STRAT	PURCHASED	VARIOUS	5/07/2013
7	7174.491 ABSOLUTE STRATEGIES FUND	PURCHASED	11/08/2011	5/07/2013
8	10106.135 FIDELITY FLOATING RATE	PURCHASED	VARIOUS	5/20/2013
9	2433.328 PERKINS MID CAP VALUE	PURCHASED	VARIOUS	5/20/2013
10	5310.15 JP MORGAN HIGHBRIDGE	PURCHASED	VARIOUS	11/14/2013
11	LONG TERM CAPITAL GAINS DISTRIBUTION	PURCHASED	VARIOUS	VARIOUS

CLIENT 06-JR04

B & E COLLINS FOUNDATION

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STATEMENT 4 (CONTINUED)  
 FORM 990-PF, PART IV, LINE 1  
 CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I) - (J)	(L) GAIN (LOSS)
1	3,821.		3,772.	49.			\$ 49.	
2	2,641.		2,291.	350.			350.	
3	28,605.		30,000.	-1,395.			-1,395.	
4	19,975.		15,931.	4,044.			4,044.	
5	14,975.		14,635.	340.			340.	
6	36,186.		40,080.	-3,894.			-3,894.	
7	80,975.		79,149.	1,826.			1,826.	
8	101,441.		98,375.	3,066.			3,066.	
9	60,347.		54,606.	5,741.			5,741.	
10	62,168.		100,887.	-38,719.			-38,719.	
11	10,062.		0.	10,062.			10,062.	
							TOTAL	\$ -18,530.

STATEMENT 5  
 FORM 990-PF, PART VII-A, LINE 10  
 SUBSTANTIAL CONTRIBUTORS DURING THE TAX YEAR

NAME OF SUBSTANTIAL CONTRIBUTOR **COPY** ADDRESS OF SUBSTANTIAL CONTRIBUTOR

THE ELAINE COLLINS CLUT; JP MORGAN 500 STANTON CHRISTIANA RD  
 NEWARK, DE 19713

ELAINE COLLINS 1642 MONTANE DRIVE E  
 GOLDEN, CO 80401

STATEMENT 6  
 FORM 990-PF, PART VIII, LINE 1  
 LIST OF OFFICERS, DIRECTORS, TRUSTEES, AND KEY EMPLOYEES

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED	COMPEN- SATION	CONTRI- BUTION TO EBP & DC	EXPENSE ACCOUNT/ OTHER
ROBERT COLLINS 1642 MONTANE DR E GOLDEN, CO 80401	PRESIDENT 1.00	\$ 0.	\$ 0.	0.
ELAINE COLLINS 1642 MONTANE DR E GOLDEN, CO 80401	SECRETARY 1.00	0.	0.	0.
BEN SWEENEY 23 DARTMOUTH PL., APT 1 BOSTON, MA 02116	DIRECTOR 1.00	0.	0.	0.

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B & E COLLINS FOUNDATION

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STATEMENT 6 (CONTINUED)  
 FORM 990-PF, PART VIII, LINE 1  
 LIST OF OFFICERS, DIRECTORS, TRUSTEES, AND KEY EMPLOYEES

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED	COMPEN-SATION	CONTRI-BUTION TO EBP & DC	EXPENSE ACCOUNT/ OTHER
SUSAN SWEENEY 511 WELD COUNTY ROAD 28 LONGMONT, CO 80504	DIRECTOR 1.00	\$ 0.	\$ 0.	\$ 0.
TIMOTHY COLLINS PO BOX 150412 LAKEWOOD, CO 80215	TREASURER 1.00	0.	0.	0.
JULIA ST. LAWRENCE 16 NAUSETT ROAD SAGAMORE BEACH, MA 02562	DIRECTOR 1.00	0.	0.	0.
		TOTAL \$ 0.	\$ 0.	\$ 0.

STATEMENT 7  
 FORM 990-PF, PART XV, LINE 3A  
 RECIPIENT PAID DURING THE YEAR

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NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND-ATION STATUS	PURPOSE OF GRANT	AMOUNT
CATO INSTITUTE 1000 MASSACHUSETTS AVE NW WASHINGTON, DC 20001		501C3	RESTRICTED GRANT	\$ 10,000.
INDEPENDENCE INSTITUTE 727 EAST 16TH AVE. DENVER, CO 80203		501C3	\$6,000 PUBLIC EDUCATION RESTRICTED GRANT RE: GUN CONTROL ED \$2,000 PUBLIC EDUCATION RESTRICTED GRANT RE: PERA \$5,000 RESTRICTED GRANT FOR "VIDEO CAST STUDIO"	13,000.
REASON FOUNDATION 3415 S SEPULVEDA BLVD, STE 400 LOS ANGELES, CA 90034		509A2	\$10,000 RESTRICTED GRANT FOR INTERNSHIP PROGRAM \$3,000 RESTRICTED GRANT FOR DENVER TRAFFIC STUDY	13,000.

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B &amp; E COLLINS FOUNDATION

84-1455726

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STATEMENT 7 (CONTINUED)  
 FORM 990-PF, PART XV, LINE 3A  
 RECIPIENT PAID DURING THE YEAR

<u>NAME AND ADDRESS</u>	<u>DONEE RELATIONSHIP</u>	<u>FOUND- ATION STATUS</u>	<u>PURPOSE OF GRANT</u>	<u>AMOUNT</u>
THE PRODIGAL SON INITIATIVE, INC 5405 33RD AVE. DENVER, CO 80207		501C3	RESTRICTED GRANT FOR ADVERTISEMENT & YOUTH SKILL DEVELOPMENT	\$ 10,000.
ESCUELA DE GUADALUPE 3401 PECOS ST. DENVER, CO 80211		501C3	RESTRICTED GRANT FOR SMART BOARD	7,665.
COLORADO CREATIVE INC. 3200 BRIGHTON BLVD, #313 DENVER, CO 80216		501C3	RESTRICTED GRANT FOR THE 2013 SUMMER PROGRAM	6,000.
SAFESHELTER OF ST VRAIN VALLEY PO BOX 231 LONGMONT, CO 80502		501C3	RESTRICTED GRANT FOR "A KIDS SO SPECIAL" PROGRAM	10,000.

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TOTAL \$ 69,665.

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Investment Detail - Mutual Funds

Bond Funds	Quantity	Market Price	Market Value
AQUILA THREE PEAKS HIGH INCM FD CL Y SYMBOL: ATPYX	12,576.3830	8.7200	109,666.06
EATON VANCE FLOATING RATE FD CL I SYMBOL: EIBLX	12,768.4140	9.1900	117,341.72

**Investment Detail - Mutual Funds (continued)**

Bond Funds (continued)	Quantity	Market Price	Market Value
JPMORGAN STRATEGIC INCM ◊ OPPTY SLCT SHR SYMBOL: JSOSX	9,038.4640	11.8900	107,467.34
PIMCO TOTAL RETURN FUND ◊ INSTL CL SYMBOL: PTTRX	20,782.1560	10.6900	222,161.25
<b>Total Bond Funds</b>	<b>55,165,437.0</b>		<b>556,636.37</b>

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Equity Funds	Quantity	Market Price	Market Value
ABSOLUTE STRATEGIES FUND ◊ INSTL SHR SYMBOL: ASFIX	7,631.9920	10.9700	83,722.95
ALPS RED ROCKS LISTED ◊ PRIVATE EQTY FD CL I SYMBOL: LPEX	17,891.6490	6.8400	122,378.88
AMERICAN BEACON INTL ◊ EQTY INST CL SYMBOL: AAIEX	9,745.8150	20.3400	198,229.88
AQR MGD FUTURES STRAT FD ◊ CL I SYMBOL: AQMIX	4,150.9340	10.5900	43,958.39
DODGE & COX STOCK FUND ◊ SYMBOL: DODGX	2,821.9210	168.8700	476,537.80

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.

Schwab  
**SCHWAB**  
INSTITUTIONAL

Schwab One® Account of  
**B & E COLLINS FOUNDATION**

Account Number  
**7112-3906**

Statement Period  
**December 1-31, 2013**

**Investment Detail - Mutual Funds (continued)**

Equity Funds (continued)	Quantity	Market Price	Market Value
GATEWAY FUND CL Y ◊ SYMBOL: GTEYX	2,058.7960	28.9900	59,684.50
HATTERAS HEDGED ◊ STRATEGIES FD I SYMBOL: HHSIX	9,675.3380	11.1000	107,396.25
ING GLOBAL REAL ESTATE ◊ CL I SYMBOL: IGLIX	3,933.0610	18.3200	72,053.68
INVESCO BALA RISK CMDY ◊ STRAT FD CL Y SYMBOL: BRCYX	5,068.8270	8.8800	45,011.18
JHANCOCK3 DISCIPLINED ◊ VALUE MID CAP I SYMBOL: JVMIX	4,694.5770	18.1400	85,159.63
LKCM SMALL CAP EQUITY ◊ FUND INSTITUTIONAL CLASS SYMBOL: LKSCX	2,980.1860	28.3300	84,428.67
WELLS FARGO ADVTG EMRG ◊ MKT EQ I SYMBOL: EMGNX	1,585.9900	22.0300	34,939.36
<b>Total Equity Funds</b>	<b>72,263.0650</b>		<b>1,150,117.77</b>

<b>Total Mutual Funds</b>	<b>72,263.0650</b>		<b>1,150,117.77</b>
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Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.



**Investment Detail - Other Assets**

Other Assets	Quantity	Market Price	Market Value
BARCLAYS BANK IPATH ETN ◊ DJ UBS COMMODITY INDEX SYMBOL: DJP	1,261.0000	36.7500	46,341.75
<b>Total Other Assets</b>	<b>1,261.0000</b>		<b>46,341.75</b>

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Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.